Attorney's Docket No. 5389.P001PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Patent Application of:)

Mark Epstein)

Examiner: Unassigned)

Application No.: 10/005,396)

Art Unit: 2185)

Filed: December 4, 2001)

For: A METHOD AND APPARATUS)
FOR MANAGING A NETWORK)

RECEIVED

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Technology Center 2100

Assistant Commissioner for Patents
Washington, D.C. 20231INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

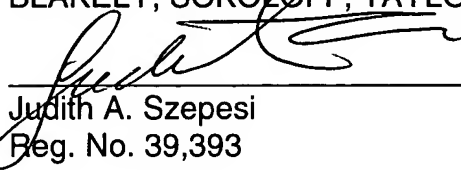
- X 37 C.F.R. §1.97(b).
- 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- A statement pursuant to 37 C.F.R. §1.97(e) or
- A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

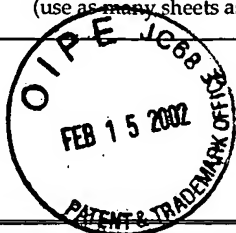
Dated: 2/7, 2002



Judith A. Szepesi
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)	Attorney Docket No.: 005389.P001	Application Number: 10/005,395
	First Named Inventor: Mark Epstein	RECEIVED FEB 21 2002 Technology Center 2100
	Filing Date: December 4, 2001	



OTHER ART - NO PATENT LITERATURE DOCUMENTS

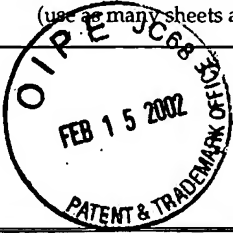
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
/	AA	Ai Metrix , Brochures, 27 pages	
/	AB	Arcana Networks, www.arcananetworks.com/customers/customers.htm Downloaded Jan 3, 2001, "Provisioneer product line" Broadband network solutions for ASPa and SSP's. 7 pages.	
/	AC	"Enrole," Broadband solutions for websites, from Access 360, www.access360.com/products.html downloaded Dec. 27, 2000,. 8 pages	
/	AD	Access 360, "Resource Provisionings," Brochure, 7 pages.	
/	AE	STEPHANIE SANBORN, Access 360 "Directory Apps Debut," InfoWorld, July 26, 2000, 5 pages.	
/	AF	PAULA MUSICH, Access 360, "Keeping an Eye on Access," eWEEK magazine, July 23, 2000, 3 pages.	
/	AG	"Bindview Delivers Industry's most Comprehensive Security Management Solution for Heterogeneous Environments," downloaded on January 29, 2001, 6 pages.	
/	AH	"Bindview - bv-Control for Windows 2000" brochure, 2 pages.	
/	AI	"Bindview - bv-Control for NetWare Servers and NDS Enterprise," Brochure, 2 pages.	
/	AJ	Baltimore Technologies, "Baltimore Technologies Strengthens Leadership with Acquisition of Content Technologies," downloaded from www.baltimore.com , January 29, 2001, 4 pages.	
/	AK	LEE COPELAND, Broadjump Technologies, "Bandwidth Bandwagon," for Upside Magazine, www.upside.com/taxis/mvm/news.htm, November 2000 issue, 5 pages.	
/	AL	"Broadjump Teams With Cisco to Deliver the Cisco Order-to-Service DSL Provisioning Solution," www.biz.yahoo.com/cnw/000912/broadjump .html, 2 pages.	
/	AM	KIRK LADENDORF, Broadjump Technologies, "Leap of Faith," for Austin American Statesman, www.austin360.com, November 1, 1999, Business section, 2 pages.	
/	AN	CARL WEINSCHENK, "Broadjump Rolls Out New Provisioning System," CTExpo.com. www.teledotcom.com, October 12, 2000, 2 pages.	
/	AO	MICHAEL MARTIN "Broadjump Unveils Broadband tools," Network World Fusion/The Edge, November 27, 2000, www.nwfusion.com, 2 pages.	
/	AP	"Time Warner Cable Purchases One Million Licenses of Broadjump's Virtual Truck Installer," Broadjump Technologies, downloaded from www.biz.yahoo.com on October 12, 2000, 2 pages.	
/	AQ	Broadjump Technologies, "Sprint Selects Broadjump Solution to Offer Self-Installation of Residential Broadband Service", www.biz.yahoo.com, 1 page.	

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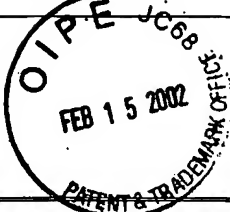
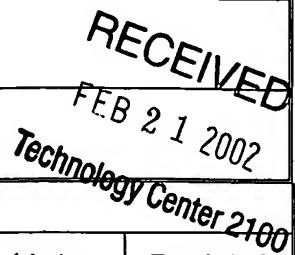
Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 005389.P001	Application Number: 10/005,396
		First Named Inventor: Mark Epstein	RECEIVED FEB 21 2002
		Filing Date: December 4, 2001	
OTHER ART - NO PATENT LITERATURE DOCUMENTS Technology Center 2100			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
/	AR	Broadjump Technologies, "Broadjump Fulfillment Solution," www.broadjump.com/products/services.main.html October 3, 2000, 16 pages.	
/	AS	Cisco Systems, "Cisco Netsys Service-Level Management Suite 4.1," Brochures downloaded from www.cisco.com/warp/public , 16 pages.	
/	AT	Cisco Systems, "CiscoWorks2000," CiscoView 5.x, Datasheets, 73 pages.	
/	AU	Checkpoint Software Technologies, "Secure Virtual Networking," www.checkpoint.com , downloaded October 2000, 1 page.	
/	AV	Checkpoint Software Technologies, "Provider-1," www.checkpoint.com , brochures, 74 pages.	
/	AW	LAUREN WANG, "Competitive Analysis," Ponte Communications, February 13, 2001, pp 1-56.	
/	AX	"Cplane Service Control Platform (SCP) Delivers Premium Services on Demand," www.cplane.com/company.html , January 3, 2001, 11 pages.	
/	AY	Dorado Software, "RedCell™ Assure," downloaded from www.doradosoftware.com on December 12, 2000, 43 pages.	
/	AZ	Dorado Software, "Dorado's Network Service Personalization Products," downloaded from www.doradosoftware.com on December 12, 2000, 23 pages.	
/	BA	"Ellacoya Networks Service Generation System™ Enables Revenue Generation," Ellacoya Networks, www.ellacoyanetworks.com , November 11, 2000, 25 pages.	
	BB	"Emperative opens DSL to Subscribers Selection and Control of Broadband Application Bandwidth," Emperative Corporation, www.emperative.com , downloaded October 18, 2000, 28 pages.	
	BC	"e-Security Agents and e-Security Administrator Workbench™ Real-Time Security Awareness and Response," www.esecurity.com/products/agents.asp on January 22, 2001, 36 pages.	
	BD	"VPN-On-Demand™ Features and Components," eTunnels, Inc., 1 page/2 sides.	
	BE	"HarmonyCom" product datasheet regarding provisioning based real-time configuration for networks, from HarmonyCom, www.harmonycom.com/products/accServ.html , October 30, 2000, 12 pages.	
	BF	"PerformancePro - IP Performance Provisioning Solutions," IPHighway, Inc. www.iphighway.com , 6 pages.	
	BG	"Policy-Based Networking Products, Design and Architecture," Volume 3, January 2000, IPHighway, Inc., 27 pages.	

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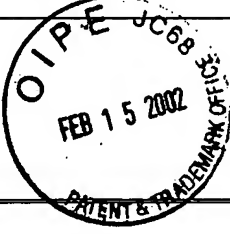
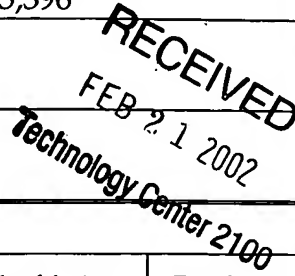
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/	BH	IPSoft, Inc. "UPX – IPsec QoS VPN Service Application," www.ispsoft.com/prupx.html , February 22, 2001, 11 pages.	
/	BI	"Enhancements to Kabira's Objects Which Bring Next-Level Performance to 24X7 Organizations," datasheet from Kabira Technologies, January 29, 2001, 6 pages.	
/	BJ	Datasheets from www.netsolve.com , downloaded January 21, 2001, 16 pages.	
/	BK	"Nokia Horizon Manager," Nokia Corporation, www.nokia.com , October 10, 2001, brochures regarding security products from Nokia, 8 pages.	
/	BL	Nortel Networks Product Briefs "Passport 15000-BSN Broadband Service Node," 31 pages.	
/	BM	Nortel Networks Product Brief "Preside for Access Networks," Nortel Networks brochure # 87051.02/July 2000, 72 pages.	
/	BN	"Oblis NetPoint Basics," downloaded on January 3, 2001 from www.oblix.com , Seven page brochure regarding NetPoint software for Security and Logon ID processing on the internet, 26 pages.	
/	BO	"MPLS – Scalability, Flexibility, and Security For activating services on IP networks," Brochure from Orchestream, printed in 2000 (brochure#ORC/MPLS/DS/V1), 20 pages.	
/	BP	Orchestream, "A Practical Approach to The Profitable Delivery of Next-Generation Services," Brochures, printed in 2000, 32 pages.	
/	BQ	"Orchestream Products: Introduction to Orchestream's Product Family," downloaded from www.actualit.com , 14 pages.	
/	BR	Sanctum, Inc., "Web Application Security – AppShield™ 3.0," 4 page data sheet downloaded from www.sanctuminc.com on December 2000.	
/	BS	Sanctum, Inc. Sanctum Endorses Cisco SAFE e-Business Security Blueprint; Joins Cisco Security and VPN Associate Program," downloaded from www.sanctuminc.com , January 28, 2001, 2 pages.	
/	BT	"Sanctum, Inc. Product Overview," December 2000, 9 pages.	
/	BU	"The Theory Behind Clear Unified Access Management," Securant Technologies, Inc., downloaded from www.securant.com on April 24, 2001, 3 pages.	
/	BV	"ClearTrust – Secure Control," data sheet from Securant Technologies, 1999, 4 pages.	
/	BW	"ClearTrust SecureControl 4.0 – Integrate Web Server platforms into one authentication system," 4 pages from Windows Magazine online, November 20, 2000.	
/	BX	"Plumbing the Internet," by Andy Serwer, Fortune Magazine, April 4, 2000, 5 pages.	

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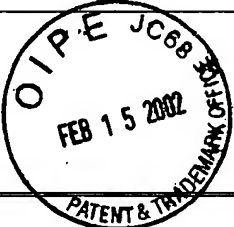
/	BY	"Smartpipes Global IP Services," downloaded from www.smartpipes.com on October 26, 2000, 1 page/2 sides.	
/	BZ	"SmartPipes datasheets," downloaded from www.smartpipes.com on October 26, 2001, 22 pages.	
/	CA	"SolSoft Releases New Version of Linux Security Freeware," Sol Soft Press Release, dated February 20, 2001, downloaded from www.solsoft.com on February 28, 2001, 2 pages.	
/	CB	"SolSoft Releases Universal Version of eBusiness Security Management Software," Sol Soft Press Release, dated November 7, 2000, downloaded from www.solsoft.com on January 4, 2001, 2 pages.	
/	CC	"SolSoft Announces Major New Release of Leading Policy Management Solution for eBusiness Security," Sol Soft Press Release, dated May 23, 2000, downloaded from www.solsoft.com on January 4, 2001, 2 pages.	
/	CD	BARB GOLDWORM "Policy-Based security management with SolSoft," NetworkWorldFusion, as downloaded from www.nwfusion.com on January 4, 2001, 5 pages.	
/	CE	"What's new in SolSoft NP 4.1," datasheet downloaded from www.solsoft.com on January 4, 2001, 4 pages.	
/	CF	"Branch Office Security Policy", SolSoft data sheet, downloaded from www.solsoft.com on January 4, 2001, 3 pages.	
/	CG	"SolSoft NP: Putting Security Policies into Practice," Brochure from Enterprise Management, April 2000, 15 pages.	
/	CH	"SolSoft NP – the Premier Solution for Managing eBusiness Security," Brochure from SolSoft, November 2000, 2 pages.	
/	CI	"SolSoft NP Tutorial – Policy Management for eBusiness Security," Manual from SolSoft NP v4.0, 10 pages.	
/	CJ	"SolSoft Q&A" from the SolSoft web site, www.solsoft.com , downloaded on February 28, 2001, 5 pages.	
/	CK	"SolSoft – Intranet Security Policy," Datasheet downloaded from www.solsoft.com on January 4, 2001, 3 pages.	
/	CL	"SolSoft – Extranet Security Policy," Datasheet downloaded from www.solsoft.com on January 4, 2001, 3 pages.	

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Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
/	CM	"SolSoft - SolSoft NP," Specifications Datasheet downloaded from www.solsoft.com on January 4, 2001, 2 pages.	
/	CN	"SolSoft - Policy Management for eBusiness Security," Brochure from SolSoft November 2000, 2 pages.	
	CO	Press Kit from SolSoft dated Fall of 2000, pp 3-11.	
/	CP	"Distributed Firewall Architecture of Defense," Sygate® Enterprise Network, downloaded from www.sygate.com on January 3, 2001, 6 pages.	
/	CQ	"Sygate® Personal Firewall - User friendly security to stop internet intruders," product description downloaded from www.sygate.com on Jan. 3, 2001, 5 pages.	
/	CR	"Sygate® Office Network Features," product description downloaded from www.sygate.com on January 3, 2001, 3 pages.	
/	CS	"About Sygate Technologies," company background and product brief, downloaded from www.sygate.com on January 3, 2001, 4 pages.	
/	CT	"Sygate Technologies, Inc. Unveiled to Provide New Solutions to Manage the Mobile Enterprise," Sygate Company News, downloaded from www.sygate.com on January 3, 2001, 2 pages.	
/	CU	"Sygate Technolgy News," various Press Releases from Sygate Technologies downloaded on January 3, 2001, 5 pages.	
/	CV	"Sygate Enterprise Network - Managing Security for Mobile and Remote Workers," Data sheet from Sygate, 2000, 6 pages.	
/	CW	"NetProvision's IP-VPN," Brochure from Syndesis, July 1, 2000, 4 pages.	
	CX	"NetProvision Suite," a description of software suite by Syndesis, downloaded from www.sydesis.com on October 3, 2000, 1 page.	
	CY	"NetProvision Reliable Service Activation," a description of software by Syndesis, downloaded from www.sydesis.com on October 3, 2000, 1 page.	
	CZ	"VPN - Delivering Services Across Core MPLS Networks," From Syndesis, Inc., downloaded from www.syndesis.com on October 3, 2000, 3 pages.	
	DA	"DSL - NetProvision Automates the Provisioning of DSL Services," downloaded from brochure from Syndesis on October 3, 2000, 4 pages.	
	DB	"Equipment Module Datasheets," From Syndesis, Inc., downloaded from www.syndesis.com on October 3, 2000, 8 pages.	
	DC	"The Network is the Database," brochure from Syndesis, July 2000, 9 pages.	

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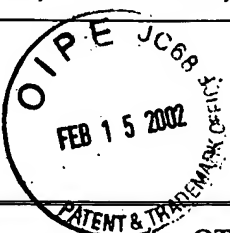
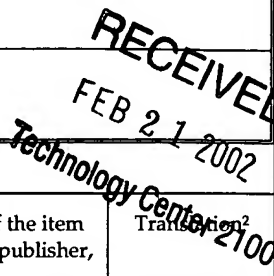
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	DD	"Provisioning Enables OSS Integration," From Syndesis, Inc. Downloaded from www.syndesis.com on October 3, 2000, 2 pages.	
	DE	"The Key to Service Differentiation," from Syndesis, Inc. brochure, 2 pages.	
	DF	"Copper Mountain," a datasheet from Syndesis, downloaded from www.syndesis.com on October 3, 2000, 8 pages.	
	DG	"Automating the New Network," a brochure from Syndesis, July 2000, 10 pages.	
	DH	Various Press Releases from Syndesis, June 19, 2000-December 4, 2000, 15 pages.	
	DI	"Accelerated Time-To-Market for Customized, Differentiated Services," Press Release from Syndesis, 2 pages	
	DJ	"Policy Commander," TBD Networks brochure, 3 pages.	
	DK	Various Datasheets downloaded from TBD networks.com, October 4, 2000, 12 pages.	
	DL	"The Solution: TBD Networks," brochure from TBD Networks, 2000, pp. 12-20.	
	DM	TIM GREENE, "Software for Managing VPNs," by Network World VPN Newsletter, July 19, 2000, 1 page.	
	DN	"Tivoli NetView - A Comprehensive Solution for Network Management," brochure #0900 from Tivoli, 2000, 4 pages.	
	DO	"Tivoli Netview 6.0," Product brochure downloaded from www.tivoli.com on December 26, 2000, 3 pages.	
	DP	MARC SONGINI, "N + I Preview: Tivoli gives NetView a Refresh," Network World, May 5, 2000, 1 page.	
	DQ	"New, Improved Platforms," a Press Release from wtonline, dated July 3, 2000, downloaded on December 26, 2000 from www.wtonline.com , 2 pages.	
	DR	"Tivoli Upgrades NetView for Simpler Central Management," a Press Release from Xephon dated February 18, 2000, downloaded from www.xephon.com on December 26, 2000, 1 page.	
	DS	"Tivoli NetView® 6.0 at a glance," data sheet from Tivoli, downloaded from www.tivoli.com on December 26, 2000, 3 pages.	
	DT	"Tivoli NetView® Further Simplifies Network Management with Java-Based Console, Intuitive Features and More Reporting Flexibility," Press Release from Tivoli dated May 8, 2000, and downloaded from www.tivoli.com on December 26, 2000, 2 pages.	
	DU	"Tivoli NetView® Distribution Manager," a data sheet from Tivoli downloaded on January 10, 2001, 1 page.	

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	DV	"Security Management and Access Control," Data sheet on Tivoli® SecureWay® software, downloaded from www.tivoli.com on October 26, 2000, 2 pages.	
	DW	"Tivoli® SecureWay® Policy Director," Product brochure from Tivoli downloaded on October 26, 2000, 10 pages.	
	DX	"Tivoli® SecureWay® Public Key Infrastructure Features," downloaded from www.tivoli.com on October 31, 2000, 1 page.	
	DY	"Tivoli® Change Management," brochure number 0899 from Tivoli, 3 pages.	
	DZ	"Tivoli Network Management Brochure," downloaded from www.tivoli.com on December 26, 2000, 6 pages.	
	EA	"Tivoli Change Management Suite," brochure #00-059M18-13 from Tivoli, dated 1999, 4 pages.	
	EB	"Tivoli® SecureWay® User Administration," brochure number 0400 from Tivoli, 3 pages.	
	EC	"Tivoli® SecureWay® Risk Manager - Integration Overview," brochure from Tivoli, 6 pages.	
	ED	"Tivoli® Risk Manager®, a Product Overview," from Tivoli, dated November 2000, 26 pages.	
	EE	"Tivoli® SecureWay® Security Manager - Serious Enterprise Security," from Tivoli, 2000, 10 pages.	
	DF	"Tivoli® SecureWay® Risk Manager - Highlights," brochure from Tivoli, 10 pages.	
	DG	"Zone Labs and Trend Micro Partner to Provide Best-of-Breed Personal Firewall and Antivirus Protection for Consumers and Corporations," Press Release from Zone Labs, downloaded from www.zonelabs.com on February 20, 2001, 3 pages.	
	DH	"Smarter Security for the Extended Enterprise," brochure SSEE.02.01.01, from Zone Labs, 4 pages.	
	DI	Various Press Releases downloaded from Zone Labs at www.zonelabs.com on February 20, 2001, 13 pages.	
	DJ	"Good Security is Essential - US businesses lose \$100 billion per year to industrial spying," by Ira Winkler, Corporate Espionage, Prima Communications, 1997, a brochure from Zone Labs, 1999, 10 pages.	
	DK	"ZoneAlarm™ Pro for the Enterprise," a brochure from Zone Labs, 2 pages.	

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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